



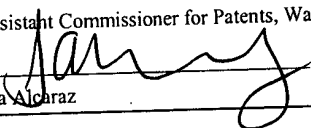
PATENT  
Docket No. 342312003801

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Tamara Alchraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Larry A. LAREW, et al.

Serial No.: 09/942,458

Filing Date: August 29, 2001

For: ECHINOCANDIN/CARBOHYDRATE  
COMPLEXES

Examiner: To Be Assigned

Group Art Unit: 1645

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INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

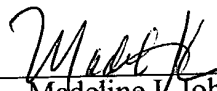
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 342312003801. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 9, 2002

Respectfully submitted,

By:   
Madeline I. Johnston  
Registration No. 36,174

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Palo Alto, California 94304-1018  
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Facsimile: (650) 494-0792

Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 342312003801

Application Number 09/942,458

Applicant

Larry A. LAREW, et al.

Filing Date August 29, 2001

Group Art Unit 1645

Mailing Date September 9, 2002

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## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/20/1966	3,293,482	Wolkstein			
	2.	08/31/1976	3,978,210	Mizuno et al.			
	3.	10/06/1981	4,293,482	Abbott et al.			
	4.	10/06/1981	4,293,483	Debono			
	5.	10/06/1981	4,293,489	Debono			
	6.	11/10/1981	4,299,763	Abbott et al.			
	7.	12/08/1981	4,304,716	Abbott et al.			
	8.	03/16/1982	4,320,052	Abbott et al.			
	9.	10/24/1989	4,876,241	Feldman et al.			
	10.	11/24/1992	5,166,135	Schmatz			
	11.	03/30/1993	5,198,421	Chen et al.			
	12.	07/30/1996	5,541,160	Balkovec et al.			
	13.	08/03/1999	5,932,543	Burkhardt et al.			
	14.	10/12/1999	5,965,525	Burkhardt et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	15.	10/10/1996	WO 96/31228 A1	WIPO			
	16.	11/28/1996	WO 96/37509 A1	WIPO			
	17.	11/28/1996	WO 96/37510 A1	WIPO			
	18.	11/28/1996	WO 96/37511 A1	WIPO			
	19.	11/28/1996	WO 96/37512 A1	WIPO			
	20.	02/13/1997	WO 97/05163 A1	WIPO			
	21.	08/07/1997	WO 97/27864 A1	WIPO			
	22.	02/11/1999	WO 99/06062 A1	WIPO			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

Docket Number 342312003801

Application Number 09/942,488

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

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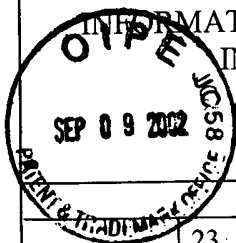
Applicant

Larry A. LAREW, et al.

Filing Date August 29, 2001

Group Art Unit 1645

Mailing Date September 9, 2002


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23.	09/02/1999	WO 99/43337 A1	WIPO				
24.	03/02/2000	WO 00/11023 A2, A3	WIPO				
25.	03/09/2000	WO 00/12540 A1	WIPO				
26.	06/15/2000	WO 00/34315 A2, A3	WIPO				
27.	06/22/2000	WO 00/35944 A1	WIPO				
28.	06/22/2000	WO 00/35945 A1	WIPO				
29.	09/08/2000	WO 00/51564 A1	WIPO				
30.	09/08/2000	WO 00/51567 A1	WIPO				
31.	09/08/2000	WO 00/52036 A1	WIPO				
32.	09/08/2000	WO 00/52037 A1	WIPO				
33.	03/21/1990	EP 0 359 529 A1, B1	Europe				
34.	09/18/1991	EP 0 447 186 A1	Europe				
35.	09/25/1991	EP 0 448 353 A2, A3	Europe				
36.	12/11/1991	EP 0 460 882 A2, A3, B1	Europe				
37.	12/27/1991	EP 0 462 531 A2, B1	Europe				
38.	09/22/1993	EP 0 561 639 A1, B1	Europe				
39.	07/01/1981	EP 0 031 221 A1	Europe				

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	40.	Etter, M.C. and Baures, P.W. (1988) "Triphenylphosphine Oxide as a Crystallization Aid," <i>J. Am. Chem. Soc.</i> 110:639-640.

EXAMINER:

DATE CONSIDERED:

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09-11-02

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/942,458
Filing Date	August 29, 2001
First Named Inventor	Larry A. LAREW
Group Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket No.	342312003801

Total Number Of Pages In This Submission

8 + 40  
REFERENCES

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|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form                                  | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group   |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences   |
| <input type="checkbox"/> Amendment / Reply                                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declarations                               | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                             | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Form PTO-1449 + copy (4 Pages)<br>40 References<br>Return receipt postcard |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)                | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application     |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53   |   |   |

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	Madeline I. Johnston
Date	September 9, 2002

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Tamara Alcaraz

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